In re Application of:

Stephen Maxwell Taylor

Ian Alexander Shiels

Lindsay Charles Brown

Michael Whitehouse (As Amended)

Application Number: 10/510,614

PCT Number: PCT/AU03/00415

International Filing Date: 7 April 2003

For: USE OF C5A RECEPTOR ANTAGONIST §

IN THE TREATMENT OF FIBROSIS

Confirmation No.: 1791

Group Art Unit: Unknown

Group Art Offic: Offkhown

Examiner: Unknown

Attorney Docket No.: 36677.30

(formerly: 4050.003000)

RENEWED PETITION UNDER 37 C. F. R. § 1.47(A) AND 37 C. F. R. § 1.497(D)

MAIL STOP PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing 37 C. F. R. § 1.8
I hereby certify that this correspondence is being deposited with the U. S. Postal Service with sufficient postage as First Class Mail on the date indicated below and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

| May 3, 300 6 | Commissioner for Patents of Deposit

Signature of person mailing paper and fee

Sir:

This submission is filed in response to the *Decision on Petition* dated March 8, 2006, for which the two (2) month period for response is May 8, 2006. This response is timely filed.

The Office is respectfully requested to reconsider the Decision on Petition in view of the following documents we now enclose herewith:

- (a) Renewed Petition to Add Inventor Michael Whitehouse Under 1.497(d)
- (b) Statement of Added Inventor Under 37 C. F. R. § 1.497(d)(1) executed by Michael Whitehouse (2 pages)
- (c) Consent of Assignee to Add Inventor Michael Whitehouse Under 37 C. F. R. § 1.497(d)(3) executed by Assignee (1 page);

Application Number: 10/510,614 PCT Number: PCT/AU03/00415

(d) Copy of Recordation of Assignment to Promics Pty. Limited at Reel/Frame 01658/0335 (6 pages);

- (e) Fee Transmittal Sheet to cover renewed petition fee of \$130.00 under 37 C. F. R. § 1.17(i); and
- (f) Return self-addressed stamped postcard.

1. RESPONSE/REMARKS

In response to the Decision on Petition dated March 8, 2006, Applicants enclose the following documents:

1.1 THE EXECUTED STATEMENT OF ADDED INVENTORS WHITEHOUSE IS PROVIDED (Item (1), page 2 of the Decision on Petition)

The Decision notes in Item (1), page 2 that Applicants are required to submit a statement by the added inventor that the error in inventorship occurred without any deceptive intention on the part of the inventors added subsequent to the proceedings of the International Application.

To perfect the deficiencies in the previous submission under 37 C. F. R. §1.497(d)(1), Applicants enclose herewith properly-executed Statement by Inventor Whitehouse that his original omission as a joint inventor in the International application occurred without any deceptive intent on his part.

Applicants believe that this submission satisfies the cited provision of the Petition and as such, believe that the application is now placed in condition for examination with respect to a properly-executed Statement of Added Inventor. A renewed petition for favorable finding in Applicants' behalf with respect to fulfillment of Item (1), page 2 of the Decision on Petitions is, therefore, respectfully tendered.

Application Number: 10/510,614

PCT Number: PCT/AU03/00415

1.2 THE CONSENT OF ASSIGNEE TO ADD INVENTOR WHITEHOUSE IS PROVIDED

(Item (3), page 2 of the Decision on Petition)

Item (3), page 2 of the Decision indicated the need to submit a statement granting the

consent of Assignee under 37 C. F. R. §3.73(b) in further compliance with

37 C. F. R. §1.497(d)(3) with respect to the addition of Inventor Whitehouse.

To that end, Applicants enclose herewith a properly-executed Statement by the Assignee

to Add Inventor Whitehouse as a joint inventor. The Assignee formally assents to the

addition of Professor Whitehouse. The assignment of rights to the assignee for each of

the signing joint inventors has been submitted for recordation at Reel/Frame

016658/0335. (A copy of the recordation of Assignment to Promics Pty. Limited is

enclosed herewith).

Applicants believe that this submission satisfies the cited provision of the Petition under

Rule 1.497(d)(3) and as such, believe that the application is now placed in condition for

examination with respect to a properly-executed Consent of Assignee to Add Inventors.

Applicants respectfully renew their petition for favorable finding on Applicants' behalf

with respect to fulfillment of Item (3), page 2 of the Decision on Petitions in view of this

submission.

1.3 REMARKS

Applicants believe this to be a full, complete, and timely response to the Decision on

Petition, and respectfully requests that the Legal Officer in Charge grant a favorable decision in

view of Applicants' current Renewed Petition.

Should the Petitions Officer or the Examination Division have any questions, a telephone

call to the undersigned Applicants' representative would be appreciated.

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Application Number: 10/510,614 PCT Number: PCT/AU03/00415

The Commissioner is hereby authorized to charge payment of any further fees associated with any of the papers submitted herewith or to credit any overpayment to Deposit Account No. 08-1394.

Respectfully submitted,

Warkwoo

Mark D. Moore, Ph.D. Registration No. 42,903

Date: 3/3/06 HAYNES AND BOONE, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202-3789 Telephone: 713-547-2040 Facsimile: 214-200-0853

In re application of: § Stephen Maxwell Taylor 88888888 Ian Alexander Shiels Confirmation Number: 1791 Lindsay Charles Brown Michael Whitehouse (As Amended)

Application Number: 10/510,614 PCT Number: PCT/AU03/00415

Group Art Unit: Unknown

International Filing Date: 7 April 2003 Examiner: Unknown

For: USE OF C5A RECEPTOR ANTAGONIST § Attorney Docket No: 36677.30

IN THE TREATMENT OF FIBROSIS (formerly 4050.003000)

RENEWED PETITION TO ADD INVENTOR MICHAEL WHITEHOUSE **UNDER 1.497(D)**

Mail Stop PCT Commissioner of Patents Office of PCT Legal Administration PO Box 1450 Alexandria, VA 22313-1450

05/12/2006 LLANDGRA 00000072 081394 10510614

130.00 DA 01 FC:1464

Sir:

Certificate of Mailing 37 C. F. R. § 1.8 I hereby certify that this correspondence is being deposited with the U. S. Postal Service with sufficient postage as First Class Mail on the date indicated below and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-24 3,2006 Date of Deposit

> UTREY 6 BROWN Name of person mailing paper and fee

Applicants request the addition of following named person as co-inventor in the abovecaptioned application pursuant to 37 CFR §1.497(d):

> Michael Whitehouse 37 Waratah Ave. Holland Park W. Queensland 4121 Australia Citizenship: Australian

Application Number: 10/510,614

PCT Number: PCT/AU03/00415

The PCT application to which the above-referenced U.S. application claims priority PCT/AU03/00415, was filed listing Stephen Maxwell Taylor, Ian Alexander Shiels, and Lindsay Charles Brown as joint inventors. Michael Whitehouse was inadvertently omitted as a joint inventor to the PCT Application. Subsequently on entry into the U.S. national stage, the inventors for the U.S. application were identified as Stephen Maxwell Taylor, Ian Alexander Shiels, and Lindsay Charles Brown. After filing, it was also determined that Michael Whitehouse was a proper joint inventor of the U.S. application and was so identified on the Declaration.

Therefore, Applicants request the addition of Michael Whitehouse for the present application.

The required processing fee of \$130.00 is authorized to be charged to Deposit Account No. 08-1394. The Commissioner is hereby authorized to charge any further fees associated with this communication, or to credit any overpayment, to Deposit Account of Haynes and Boone, LLP Number 08-1394.

Date

HAYNES AND BOONE, LLP

901 Main Street, Suite 3100 Dallas, Texas 75202-3789

Telephone: 713-547-2040 Facsimile: 214-200-0853

H-605736.1

Respectfully submitted,

Makellow

Mark D. Moore, Ph.D. Registration No. 42,903

In re Application of:	§	
Stephen Maxwell Taylor	§	Confirmation Number: 1791
Ian Alexander Shiels	§	
Lindsay Charles Brown	§	
Michael Whitehouse	-	
(As Amended)		
,	§	
Application Number: 10/510,614	§	Group Art Unit: Unknown
PCT Number: PCT/AU03/00415	§	
International Filing Date: 7 April 2003	§	Examiner: Unknown
	§	
For: USE OF C5A RECEPTOR	§	Attorney Docket No: 36677.30
ANTAGONIST IN THE	§	(formerly 4050.003000)
TREATMENT OF FIBROSIS		

STATEMENT OF JOINT INVENTOR MICHAEL WHITEHOUSE UNDER 37 C. F. R. § 1.497(d)(1)

Mail Stop PCT Commissioner for Patents Office of Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Certificate of Mailing 37 C. F. R. § 1.8

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Date of Deposit

Aurly J. BRown

Name of person mailing paper and fee

Signature of person mailing paper and fee

I, MICHAEL WHITEHOUSE, HEREBY DECLARE AS FOLLOWS:

1. The PCT application to which the above-referenced U.S. national stage application claims priority was originally filed listing Stephen Maxwell Taylor, Ian Alexander Shiels, and Lindsay Charles Brown, as joint inventors.

MW

Application Number: 10/510,614 Customer Number: 000027683

- 2. It was determined that I, Michael Whitehouse, am also a proper joint inventor for the above-referenced U.S. national stage application.
- 3. I was added as a joint inventor after entry in the US national stage application by Applicants' filing a Declaration on 11 October 2005 (A copy of which is attached hereto).
- 4. My omission as a co-inventor of the PCT application was an inadvertent oversight, which occurred without deceptive intent on my part.
- 5. My omission as a co-inventor on the above-referenced U.S. national stage application, was also an inadvertent oversight, which also occurred without deceptive intent on my part.
- 6. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U. S. C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

April 2000

Date

Michael Whitehouse

In re Application of:	§	
Stephen Maxwell Taylor	§	Group Art Unit: Unknown
Ian Alexander Shiels	§	
Lindsay Charles Brown	§	Examiner: Unknown
Michael Whitehouse (As Amended)	§	
	§	Attorney Docket No.: 36677.30
Application Number: 10/510,614	§	(formerly: 4050.003000)
PCT Number: PCT/AU03/00415	§	
	§	
International Filing Date: 7 April 2003	§	
	§	
For: USE OF C5A RECEPTOR ANTAGONIST	§	Confirmation Number: 1791
IN THE TREATMENT OF FIBROSIS	8	

CONSENT OF ASSIGNEE TO ADD INVENTOR

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The undersigned, Promics Pty Limited, being the assignee of record of the entire interest in the above-identified application by virtue of Assignments concurrently submitted for recordation in the U.S. Patent and Trademark Office, hereby consents to the addition of Michael Whitehouse as a joint inventor in the above-captioned patent application.

Certificate of Mailing 37 C. F. R. § 1.8
I hereby certify that this correspondence is being deposited with the U. S. Postal Service with sufficient postage as First Class Mail on the date indicated below and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-



UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 19, 2005

PTAS

500055931A

MARK D. MOORE 901 MAIN STREET SUITE 3100 DALLAS, TX 75202

5000559314

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/19/2005

REEL/FRAME: 016658/0335

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: 36677.30

ASSIGNOR:

MAXWELL, STEPHEN TAYLOR

DOC DATE: 08/05/2005

ASSIGNOR:

SHIELS, IAN ALEXANDER

DOC DATE: 08/05/2005

ASSIGNOR:

BROWN, LINDSAY CHARLES

DOC DATE: 08/05/2005

016658/0335 PAGE 2

ASSIGNEE:

PROMICS PTY. LIMITED
BUILDING 64, PHYSIOLOGY &
PHARMACOLOGY DEPT.
UNIVERSITY OF QUEENSLAND, ST.
LUCIA
QUEENSLAND, AUSTRALIA 4072

SERIAL NUMBER: 10510614

PATENT NUMBER:

TITLE: THERAPEUTIC METHOD

FILING DATE: ISSUE DATE:

JEFFREY OLSEN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

PATENT ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

10/19/2005 500055931

SUBMISSION TYPE	•	NEW ASSIGNMENT			
NATURE OF CONV	EYANCE:	ASSIGNMEN	ASSIGNMENT		
CONVEYING PART	Y DATA				
Name		Name		Execution Date	
Stephen Taylor Maxwell 08/05/2005		08/05/2005			
lan Alexander Shie				08/05/2005	
Lindsay Charles Br	own			08/05/2005	
RECEIVING PARTY	/ DATA				
RECEIVING PART	DATA				
Name:	Promics Pty.	imited			
Street Address:	Building 64, F	Building 64, Physiology & Pharmacology Dept.			
Internal Address:	University of	University of Queensland, St. Lucia			
City:	Queensland				
State/Country:	AUSTRALIA				
Postal Code:	4072				
PROPERTY NUMB			Number		
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Phone: Email:	713-547 ipdocke ne: Mark D. 901 Ma	-2040 ting@haynesboone.co Moore n Street			
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Total Attachments: 2 source=30 executed assignment#page1.tif source=30 executed assignment#page2.tif

PATENT FILE NO. 36677.30 (4050.003000)

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to Promics Pty Limited (the "Assignee"), having a place of business at Building 64, Physiology & Pharmacology Dept., University of Queensland, St. Lucia, Queensland 4072, Australia, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 10/510,614 which has been filed on October 07, 2004 and is entitled "Use of C5A Receptor Antagonist in the Treatment of Fibrosis", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Name: Stenhen Morarell Taulor

Name: Stephen Maxwell Taylor

are: 5th August 2005

Witness' Signature:

Name: JACLYN COSTELLO

Date: STH August 2005

Signature Jan & Shiels Nome: Ian Alexander Shiels	Date: 05/08/05. (AUGUST).
Witness' Signature: Costella	
Name: JACUN COST	
Date: 5th August	2005
Signature: Ondrong brown Name: Lindsay Charles Brown	Date: 5/8/05 (AUGUST 576, 200-5)
Witness' Signature: J- World	
Name TACHMAL STITE	aco .
Date: 5th August	2005
•	
Signature: Name: Michael Whitehouse	Date:
Witness' Signature:	
Name:	-
Date:	

H-550387_1.DOC

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Effective on 12/08/2004 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/510,614 FEE TRANSMITTAL 7 April 2003 (I.A. Filing Date) Filing Date For FY 2005 First Named Inventor Stephen Maxwell Taylor **Examiner Name** Unknown Applicant claims small entity status. See 37 CFR 1.27 Art Unit Unknown (\$) 130.00 TOTAL AMOUNT OF PAYMENT 36677.30 (formerly 4050.003000) Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Name: Haynes and Boone, LLP Deposit Account Deposit Account Number: 08-1394 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES FILING FEES** SEARCH FEES **Small Entity Small Entity** Small Entity **Application Type** Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 500 200 150 250 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 0 0 0 O 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 180 Multiple dependent claims **Total Claims** Fee Paid (\$) **Multiple Dependent Claims Extra Claims** Fee (\$) - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Indep. Claims **Extra Claims** Fee (\$) Fee Paid (\$) -3 or HP =HP = highest number of independent claims paid for, if greater than 3 3 APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets Extra Sheets** Fee Paid (\$) (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: Petition Fee Under 37 CFR 1.17(i) 130 SUBMITTED BY Registration No. 42,903 Telephone 713-547-2040 Signature (Attorney/Agent) Name (Print/Type) Mark D. Moore

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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